

High Frequency VCO Design and Schematics

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This note will review the process by which **VCO (Voltage Controlled Oscillator)** designers choose their oscillator's topology and devices based on performance requirements, components types and DC power requirements.

Basic oscillator design specifications often require a given output power into a specified load at the design frequency. The drive level and bias current set the fundamental output current and the oscillation frequency is set by the resonator components.

Transistor selection of the transistor should consider noise, frequency, and power requirements. Based on the particular device, the design may account for parasitics of the device affecting resonator components as well as nonlinear performance specifications. All the VCO schematics presented below were practical build using the Infineon SiGe transistor BFP420, and any of them can be re-tuned for different frequency ranges changing varicaps and LC tank values.

VCO Specifications

- The VCO must exhibit a low **Phase Noise** in order to meet the Sensitivity, Adjacent Channel and Blocking requirements. In digital modulation scheme the VCO's Phase Noise affects the Bit Error Rate requirements. High **Pushing** (change of the oscillation frequency with supply voltage) can cause Phase Noise degradation due to increased sensitivity to the power supply noise.
Phase Noise varies typically by 3dB with temperature, in the -55°C to $+85^{\circ}\text{C}$ range.
- A **buffer** at the output is necessarily to isolate the VCO from any output load variations (**Pulling**) and to provide the required output power. Meeting simultaneously the output power and load pull specification directly with a stand-alone oscillator would be difficult. However, this buffer amplifier requires a higher supply current. Alternative would include to use at the output circulators, isolators or passive attenuators.
- VCO **output power** is usually measured into a 50-ohm load. Output power requirements specified in dBm, and tolerances vs tuning frequency in \pm dB.
- The **tuning slope** is the slope of the frequency to voltage tuning characteristic at any point and is the same as modulation sensitivity. The slope could be positive or negative. For a positive slope, the output frequency. increases as the tuning voltage increases. Similarly, for a negative slope, the output frequency decreases as the tuning voltage increases
- A **monotonic tuning characteristic** means that the frequency is single valued at any tuning voltage and that the slope has the same sign across the tuning range.
- **Tuning sensitivity** as a function of tuning voltage is a measure of **tuning linearity**. For any given application, have to specify the minimum and maximum of the tuning sensitivity. In the case of a VCO, the frequency coverage is rather restricted since the influence of the feedback network is small compared to the active device itself. Conventional oscillator designs (with a LC circuit or transmission-line equivalent coupled to a negative-resistance active device will only provide a restricted frequency

coverage and poor stability). A negative resistance can easily be obtained from most microwave transistors when considering chip and package parasitics.

- **Tuning flatness** - As the VCO frequency range is increased, the difficulty to achieve a flat output power is increased. Adding an output filter to suppress harmonics may in some cases degrade power output flatness.
- The drive level should consider the trade-off between harmonic content, oscillator stability, and noise.

VCO Design Recommendations

Nonlinear Effects in VCOs

Oscillator circuit nonlinearities cause low-frequency noise components to be up-converted and to appear as noise sidebands on the VCO output. Although this statement is intuitively obvious, quantifying this mechanism is much more complex. Second-order nonlinear distortion determines the degree of noise contamination of the oscillator output for instance. Therefore, second-order distortion in the oscillator should be minimized. The degree to which any oscillator accomplishes this goal can be judged based on the second harmonic output level of the oscillator.

- A good oscillator should exhibit 2nd harmonic levels on the order of -40dBc.

Another useful indicator of good oscillator design is the change in oscillation frequency versus DC bias reduction.

- A slow reduction of the supply voltage from nominal to the point at which oscillation just ceases, should result in a very small frequency change (for example, should be on the order of about of 20 kHz for a well-designed 2 GHz oscillator).

- The oscillator excess open-loop gain (which is necessary for initial oscillator build-up) should be minimized in order to prevent amplitude fluctuations from being converted into significant frequency fluctuations.

The 2nd harmonic currents in the oscillator sustaining stage can appear in phase quadrature with the fundamental current, thereby worsening the conversion of AM noise to PM noise.

- Ideally, the 2nd and 3rd harmonic frequencies should be placed well above the f_T cut-off frequency of the oscillator sustaining stage transistor, thereby minimizing this effect.
- In many VCOs, the spectral purity is dominated by AM to FM conversion mechanisms near the carrier frequency. One method to predict the AM-to-FM conversion effect in a varactor-tuned VCO is based on a simple observation of the VCO output frequency oscillator signal amplitude. A change in the RF voltage amplitude across the tuning varactor normally affects the observed tuning capacitance value in the resonator, thereby providing one substantial AM-to-FM conversion mechanism in the oscillator.

Other VCO impairments including injection locking, load pulling, and power supply frequency pushing can cause serious oscillator performance degradation, particularly in

phase-locked systems. If the induced impairments fall within the closed-loop bandwidth of the system, potentially chaotic spectral behavior can result.

Design margins must be identified and held for each of these potential problem areas.

Injection Locking

Injection locking can be shown that when a signal of sufficient amplitude and sufficiently small frequency error is impressed on a free-running oscillator. Over time, the free-running oscillator changes its frequency to that of the impressed signal with a corresponding change in its signal phase and amplitude. Normally, injection locking is a very undesirable situation, but it has been used to advantage on occasion such as in narrowband bit synchronizers.

Load Pulling

VCO load pulling refers to the change in oscillator frequency that occurs when the oscillator load impedance is changed. If this impedance change is dynamic in nature, load pulling of the oscillator leads to direct frequency modulation of the oscillator. Obviously, if the VCO is contained within a phase-locked loop and the frequency of modulation lies within the closed-loop bandwidth, unwanted interactions can result.

One of the most serious load pulling situations that can occur in practice arises in modulators where the modulation signal causes (low-frequency) baseband frequency modulation of the load.

In this situation the load reflection coefficient, become a function of the modulation signal.

Frequency Pushing

VCO frequency pushing is the technical term applied to the oscillator frequency perturbations that result from small changes in the oscillator's supply voltage(s). These perturbations can result from a number of factors including changes in device capacitance values caused by modified reverse biased junction capacitances, changes in the oscillator self-limiting signal mechanism, and changes in the sustaining stage gain.

Oscillator frequency pushing can lead to substantial phase noise degradation because any power supply noise directly can lead to frequency modulation of the oscillator.

Varactor Diode Nonlinear Effects

One of the main nonlinear VCO elements, particularly in wideband VCOs, is the varactor diode. The potentially large voltage swing across the varactor(s) leads to departures in the frequency tuning curve from nominal and up-conversion of low-frequency noise components that contribute to VCO phase noise sidebands.

It can be shown that minimal varactor distortion occurs when the VCO tuning varactors are used in the back-to-back topology. Second-order distortion is theoretically reduced to zero when matched abrupt junction varactor diodes are used in this configuration.

- Back-to-back varactor diode configuration is used to improved balance and to minimize even-order varactor nonlinearities.

- Varactors should be placed at minimum voltage swing in the resonator.
- Resistors should be placed about the varactors in order to maintain reverse bias and have their noise voltage short-circuited through an inductor.

In order to lower the VCO Phase Noise, a number of design rules should be respected:

- The active device has noise properties which generally dominate the noise characteristic limits of an oscillator. Since all noise sources, except thermal noise, are generally proportional to average current flow through the active device, it is logical that reducing the current flow through the device will lead to lower noise levels.
- Narrowing the current pulse width in the active device will decrease the time that noise is present in the circuit and therefore, decrease Phase Noise even further.
- Maximize the loaded Q of the tuned circuit in the oscillator.
There is a trade-off between the Q factor of the oscillator, its size and its price. The low Q-Factor of an LC tank and its component tolerances needs careful design for phase noise without individual readjustment of the oscillators.
Usually a larger resonator will have a higher Q (e.g. a quarter wavelength coaxial resonator).
- Choose an active device that has a low flicker corner frequency.
A bipolar transistor biased at a low collector current will keep the flicker corner frequency to a minimum, typically around 6 to 15 KHz (Most semi-conductor manufacturers can provide the frequency corner (f_c) of their devices as well as the $1/f$ characteristic).
- Maximize the power at the output of the oscillator.
In order to increase the power at the input of the oscillator, the current has to be increased. However, a low current consumption is critical to preserving battery life and keeping a low f_c . In a practical application, the current will be set based on output power required to drive the system (typically a mixer), and then the Phase Noise will need to be achieved through other means.
- Choose a varactor diode with a low equivalent noise resistance.
- The varactor diode manufacturers do not measure or specify this parameter. The best approach is then empirical; by obtaining varactors from several vendors and experimentally finding out which one yields the lowest phase noise in the VCO circuit and thus has the lowest equivalent noise resistance.
- There are two basic types of varactors: Abrupt and Hyperabrupt.
 - The abrupt tuning diodes will provide a very high Q and will also operate over a very wide tuning voltage range (0 to 60 V). The abrupt tuning diode provides the best phase noise performance because of its high-quality factor.
 - The hyperabrupt tuning diodes, because of their linear voltage vs. capacitance characteristic, will provide a much more linear tuning characteristic than the abrupt diodes. These are the best choice for wide band tuning VCO's. An octave tuning range can be covered in less than 20 V tuning range. Their disadvantage is that they have a much lower Q and therefore provide a phase noise characteristic higher than that provided by the abrupt diodes.

CAD analysis can be used to choose the varactor diode doping profiles for linear frequency tuning even in the presence of large signals.

- Keep the voltage tuning gain (K_o) to the minimum value required.
This is the most challenging compromise because the thermal noise from the equivalent noise resistance of the varactor works together with the tuning gain of the VCO to generate phase noise. This compromise will be the limiting factor determining the phase noise performance.
- Noisy power supplies may cause additional noise. Power supply induced noise may be seen at offsets from 20 Hz to 1 MHz from the carrier. If the VCO is powered from a regulated power supply, the regulator noise will increase depending upon the external load current drawn from the regulator. The phase noise performance of the VCO may degrade depending upon the type of regulator used, and also upon the load current drawn from the regulator. To improve the phase noise performance of the VCO under external load conditions it is always a good design philosophy to provide RF bypassing of power and DC control lines to the VCO. RF chokes and good bypassing capacitors (low ESR) is recommended at the DC supply lines. This will minimize the possibility of feedback between stages in a complex subsystem. Improved bypassing may be provided by incorporating an active filter circuit.
Power supply cleaning is provided to reduce pushing effects and noise contamination.
- "Noiseless" biasing should be used in the transistor base circuits. Absence of any significant DC current in the base resistors minimizes shot noise current.
- Tuning line resistance should be kept very low, thereby keeping Johnson noise effects to minimal.
- Any reduction in sensitivity of the tuning curve to signal amplitude is desirable because this leads to a corresponding decrease in AM to FM conversion within the oscillator.
- In BJT VCOs, the amount of feedback to the emitter from the collector must not result in base-emitter junction voltage breakdown (critical point for most microwave transistors).
- Oscillator self-limiting mechanism should be implemented such that the resonator Q is not degraded. Actual limiting occurs across the base-emitter junction rather than the base-collector junction.
The collector supply currents should be inserted with negligible resonator loading.
- Varactors should have minimum coupling to the tuned circuit, just enough to achieve an adequate frequency swing.
- The tuning voltage should not be zero or the diode may be forward biased during part of the oscillator cycle and degrade performance.
- The supply for the VCO should be regulated and decoupled and the oscillator should be shielded.
- A suitable buffer circuit should isolate the VCO from any load.

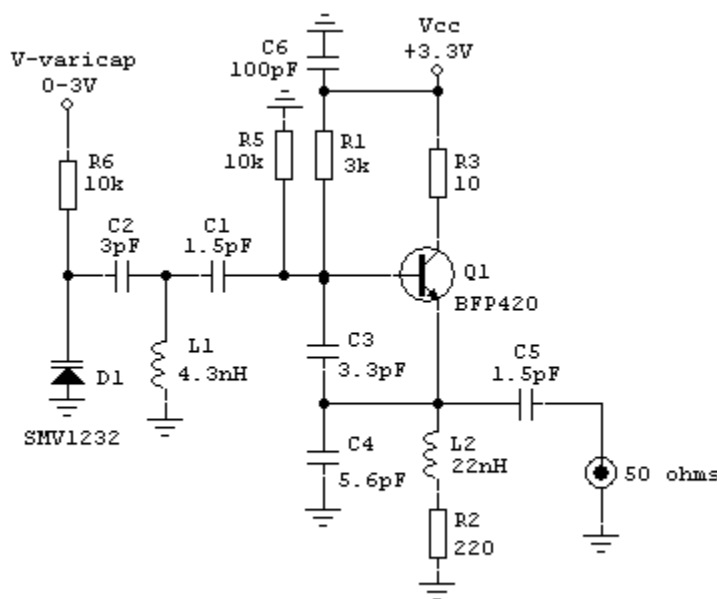
VCO Topologies

Parallel Tuned Colpitts VCO

There are 3 types of BJT Colpitts VCOs. Common-Collector, Common-Emitter and Common-Base.

The most used is Common-Collector configuration where the output is often taken from the collector terminal, simply acting as a buffer for the oscillator connection at the base-emitter terminals.

This is the only Colpitts arrangement in which the load is not part of the three-terminal model or the oscillator equation; though care must be taken to ensure that the collector output voltage does not significantly feedback through the base-collector junction capacitance. As an alternative, the output of the common collector could also be taken across emitter resistance R_e .



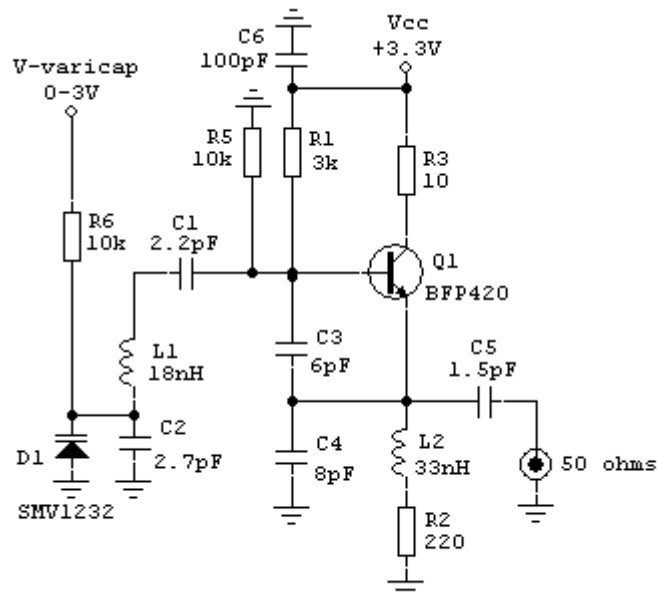
1.52GHz-1.56GHz Colpitts VCO

- The configuration of the transistor amplifier is of a Common Emitter Amplifier with the output signal 180° out of phase with regards to the input signal. The additional 180° phase shift required for oscillation is achieved by the fact that the two capacitors are connected together in series but in parallel with the inductive coil resulting in overall phase shift of the circuit being zero or 360°.
- The ratio of the feedback capacitors in the Colpitts VCO (C3 and C4), is more important than the capacitor's actual values. A good place to start is with a one to one ratio. The loaded Q of the resonator circuit can be increased by reducing C3 or increasing C4. Doing so however, reduces the loop gain in the oscillator, and enough loop gain must be maintained to guarantee oscillation start-up under all conditions (mainly under different temperatures and system output loads).
- The value of the collector resistor, R3 affects the oscillator loop gain. As in a common collector amplifier, the lower the impedance in the collector circuit the more loop gain the circuit will have. This resistor provides another means of controlling the loop gain of the oscillator since a good oscillator design has just enough loop gain to guarantee reliable oscillation start-up. If there is too much loop gain the oscillator will operate in

deep compression which will load the Q of the resonator circuit because the input impedance at the base of the transistor is very low when current saturation occurs. The resistor also tends to minimize the level of the harmonics.

- L2 is chosen as an RF choke to provide a high impedance in the emitter circuit and ensure that most of the oscillator power is fed back to the base of Q1 instead of being dissipated in R2.
- Emitter resistor R2 is used for current feedback thus providing a stable DC bias point that will be independent of the beta of the transistor.
- C1 capacitor defines the amount of coupling between the active device and the resonator. The lighter the coupling (a smaller value of C1), the better the loaded Q of the resonator is, which results in a better phase noise performance. However, the compromise is a reduced output power and the potential for the VCO not to start under all operating conditions (especially at higher temperatures when current gain is reduced). Designing the system with too light of a coupling may also result in a sensitive design which may yield potential manufacturing problems.
- The final tuning component of the oscillator, C2 sets the voltage tuning gain of the oscillator. This capacitor should keep the coupling as light as possible while maintaining the required frequency tuning range of the VCO so that the varactor's phase noise contribution is reduced to a minimum. If the coupling is too light, the oscillator may not start under certain conditions. The worst-case condition for this oscillator topology is when V-varicap is set at zero volts.
- A good way to check if C2 is large enough for reliable oscillator start up is to monitor the output power of the VCO with zero volts on the tune line. The power with V-varicap at 0V should be within 1 dB of the power with V-varicap at 3V. If C2 is too small, the output power of the VCO will fall off sharply when V-varicap approaches zero volts or the oscillator may stop completely.
- One good reason to use a transistor with a high Ft such as the BFP420 ($F_t = 25\text{GHz}$) is that C2 can be small and oscillation start-up will be reliable simultaneously.
- In order to ensure that the loaded Q of the resonator circuit is not the limiting factor in phase noise performance, the varactor can be replaced with a fixed 2.5pF capacitor and compare the results. A varactor can degrade up to 5-6dB
- The varactor can reduce the Q of the resonator circuit but this effect is secondary to the varactor modulation due to its own equivalent noise resistance. One way of reducing this effect is to parallel two or more varactors of smaller value while keeping the same tuning curve. This effectively reduces the equivalent noise resistance.

Series Tuned Colpitts VCO (Clapp VCO)

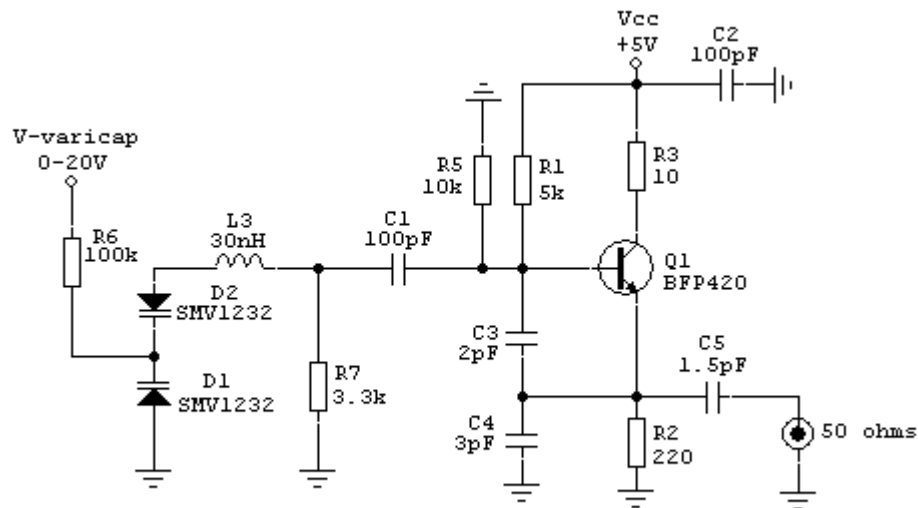


1GHz - 1.2GHz series tuned Colpitts VCO (Clapp VCO)

The series-tuned Colpitts circuit (or Clapp oscillator) works in much the same way as the parallel one.

- The difference is that the variable capacitor, C1, is positioned so that it is well-protected from being swamped by the large values of C3 and C4.
- In fact, small values of C3, C4 would act to limit the tuning range. Fixed capacitance, C2, is often added across the varicap to allow the tuning range to be reduced to that required, without interfering with C3 and C4, which set the amplifier coupling.
- The series-tuned Colpitts has a reputation for better stability than the parallel-tuned original. Note how C3 and C4 swamp the capacitances of the amplifier in both versions.
- The oscillation frequency is given by: $\omega^2 L = [1/(C2+Cvar)]+(1/C3)+(1/C4)$

Wideband Colpitts VCO



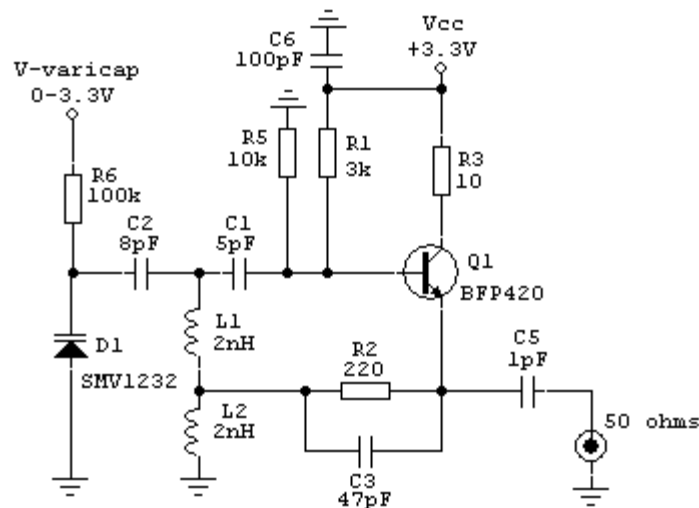
950MHz-2200MHz Colpitts VCO

- This wideband Colpitts VCO uses a series back-to-back connection of two SMV1232 varactors instead of a single varactor. This connection allows lower capacitance at high voltages, while maintaining the tuning ratio of a single varactor. The back-to-back

varactor connection also helps reduce distortion and the effect of fringing and mounting capacitances.

- The wideband Colpitts feedback capacitances C3, C4 were optimized to provide a flat power response over the wide tuning range. These values may also be re-optimized for phase noise if required.
- The circuit is very sensitive to the transistor choice (tuning range and stability) due to the wide bandwidth requirement.
- DC bias is provided through resistors R6 and R7, which may affect phase noise, but allows the exclusion of RF chokes. This reduces costs and the possibility of parasitic resonances which is the common cause of spurious responses and frequency instability.

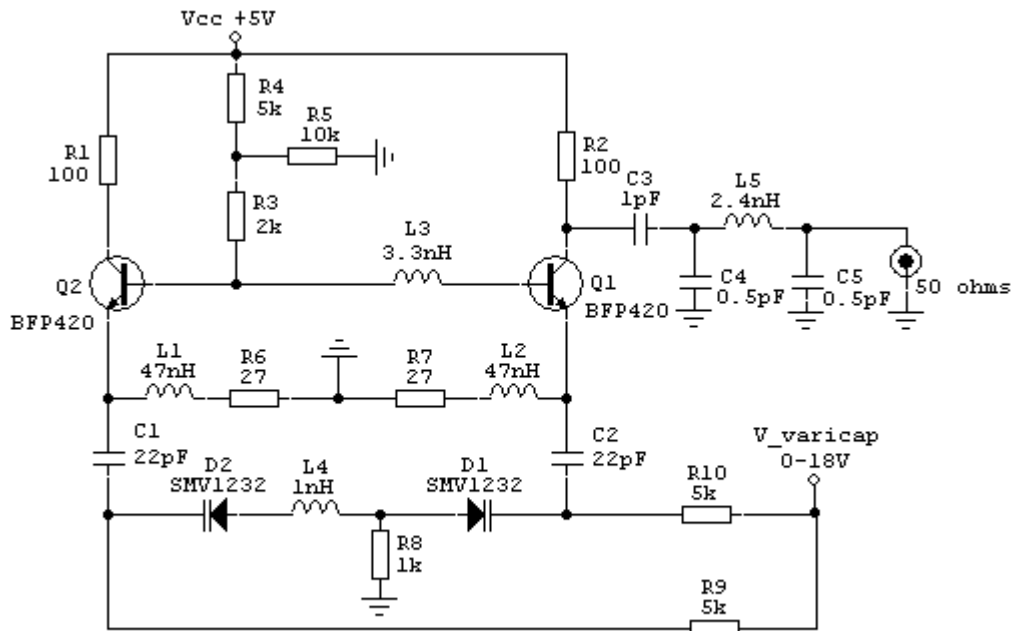
Hartley VCO



Hartley VCO - 1.4GHz to 1.8GHz

- Hartley oscillator is often referred to as a split-inductance oscillator because the series combined coil (L1+L2) is center-tapped. In effect, the combined inductance L acts like two separate coils in very close proximity. A current through coil section L1 induces a signal into coil section L2. When power is first applied to this circuit, resistor R3 places a small negative voltage on the base of the transistor, allowing it to conduct. Internal noise builds up within the transistor
- The Hartley VCO is similar to the parallel tuned Colpitts, but the amplifier source is tapped up on the tank inductance instead of the tank capacitance. A typical tap placement is 10 to 20% of the total turns up from the “cold” end of the inductor. (It’s usual to refer to the lowest-signal voltage end of an inductor as cold and the other, with the highest signal voltage as hot.). The same as in Colpitts case a good place to start is with a one to one ratio.
- C2 limits the tuning range as required.
- C1 is reduced to the minimum value that allows reliable starting. This is necessary because the Hartley’s lack of the Colpitts’s capacitive divider would otherwise couple the transistor capacitances to the tank more strongly than in the Colpitts, potentially affecting the circuit’s frequency stability.

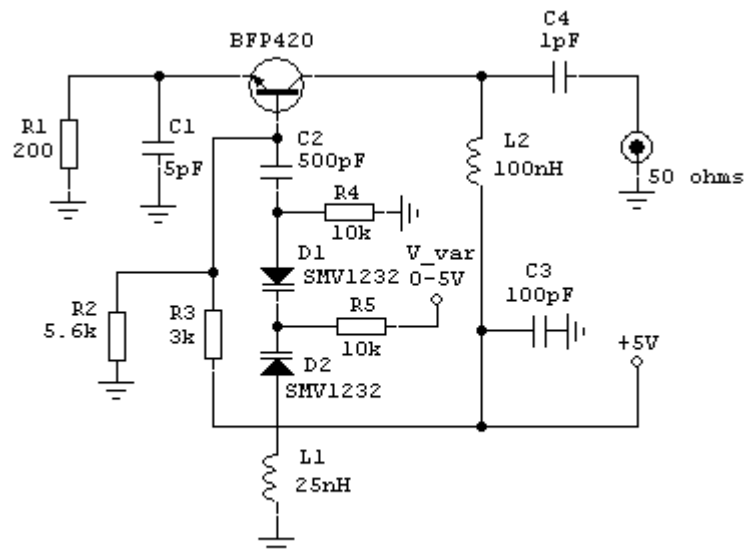
Wideband Differential Push-Push VCO



Wideband Differential Push-Push VCO - 3GHz to 6GHz

- The circuit schematic shows a pair of transistors in a single feedback loop, connected so that collector currents would be 180° shifted. A pair of back-to-back connected SMV1232 varactors is used to allow lower capacitance at the high voltage range, without changing the tuning ratio.
- Varactor DC biasing is provided through resistors R8, R9 and R10, which may affect the phase noise, but eliminate the need for inductive chokes. This eliminates the possibility of parasitic resonances that could affect the wide tuning range and also cause for frequency instability and spurs.
- The DC chokes, L1 and L2 are used for phase correction between pairs and their losses are dominated by the series emitter resistors R6 and R7.
- The DC blocking series capacitances C1 and C2, including associated parasitics, shall have the SRF outside of the tuning range.
- A three-pole Low Pass Filter at the output is used to filter the unwanted spurious.

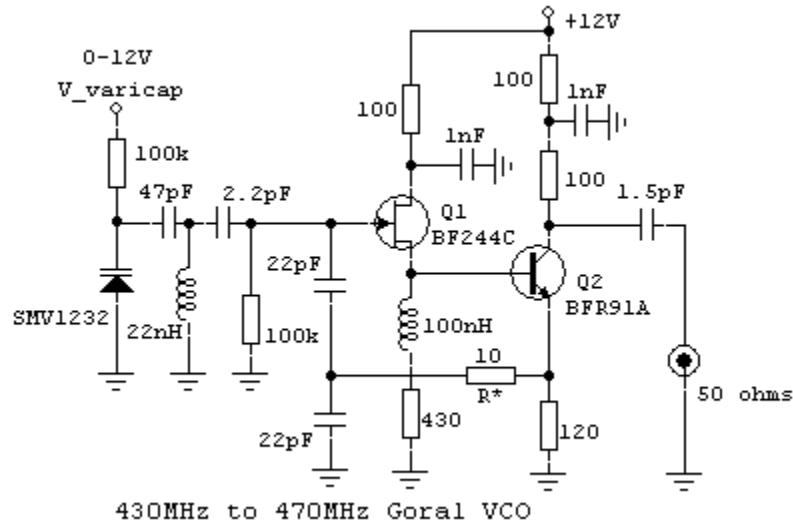
Negative Resistance VCO



Negative Resistance VCO - 900MHz to 1.3GHz

- The resonator of the Negative Resistance VCO is a series-tuned base network consisting of two series varicap capacitances and an inductor for the positive reactance element.
- Performance is highly dependent on the transistor type. Certain component values are critical.
- This oscillator actually works best when lower F_t transistors are used. The circuit can be envisioned as a series-tuned Clapp, with internal transistor base-to-emitter capacitance and collector-to-emitter capacitance acting as a voltage divider. Microwave transistors with little internal capacitance do not work well except at the high end of the useful range of this oscillator type. Higher F_t devices required increased capacitance added at the emitter. At the low end of the frequency range, adding external base-to-emitter capacitance is sometimes necessary,
- If bias conditions result in a emitter resistance below about 200 ohms, an RF choke may be required in series with the resistance. This choke must be free of any resonances in the operating frequency range.
- The output can be taken from several points. The L1 inductor can be tapped. As the tap is moved toward the transistor, more power is coupled out. If the tap is too close to the transistor, the loading reduces the oscillation margin, and the operating frequency becomes more load dependent.
The output can be taken by capacitive coupling at the emitter (low level) or at the collector (higher level, but have more spurious).
- Because the negative resistance oscillator uses a series-tuned resonator, the varactors lead inductance becomes a part of the resonator. This is an advantage over varactor-tuned oscillators using parallel resonators. The base coupling capacitor inductance and transistor base inductance are also absorbed.
- The loaded Q of negative resistance oscillators is typically less than 5 and this circuit defies attempts at improving the Q. When used as a broadband varactor-tuned VCO, the low loaded Q does not limit phase noise performance significantly because varactor modulation noise predominates, particularly at higher offset frequencies.

Goral VCO



The Goral VCO has an emitter-follower stage inserted in the feedback path of an otherwise conventional Colpitts oscillator circuit.

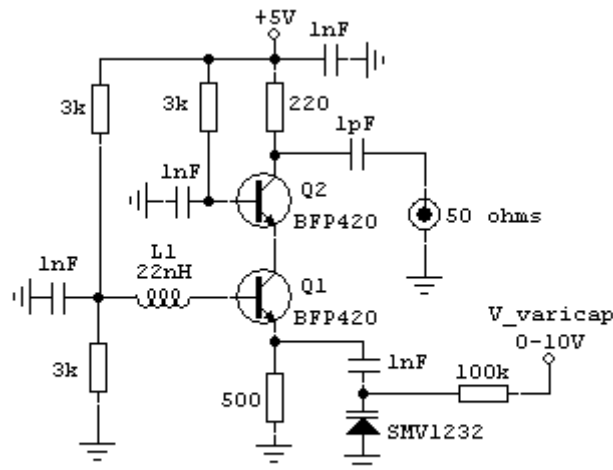
- The midpoint of the capacitive divider (which is actually part of the resonant tank) now sees a much lower impedance with respect to ground than would be the case without the emitter follower.
- Because the feedback gain of a Goral VCO is greater than a standard Colpitts, placing a feedback resistor R^* , helps improving the overall phase noise performance and improving also the linearity, reducing the VCO generated harmonics.
- The power gain of the JFET/BJT combination is much greater than that of the JFET 'oscillator' alone. There is latitude for considerable experimentation in the ratio of the two capacitors used in the Colpitts section of the circuit. This ratio can be optimized for frequency stability without easily running out of feedback.

Note that the emitter-follower is directly coupled to the JFET. It may be necessary to experiment with bias-determining resistances to ascertain Class-A operation from the emitter-follower. Also, the output transistor is intended to operate in its Class-A region.

Cascode VCO

To provide higher isolation of the load from the VCO resonant circuit a cascode VCO configuration, can be used.

The negative resistance oscillation conditions for common emitter transistor Q1 are provided by using the feedback inductance L1.



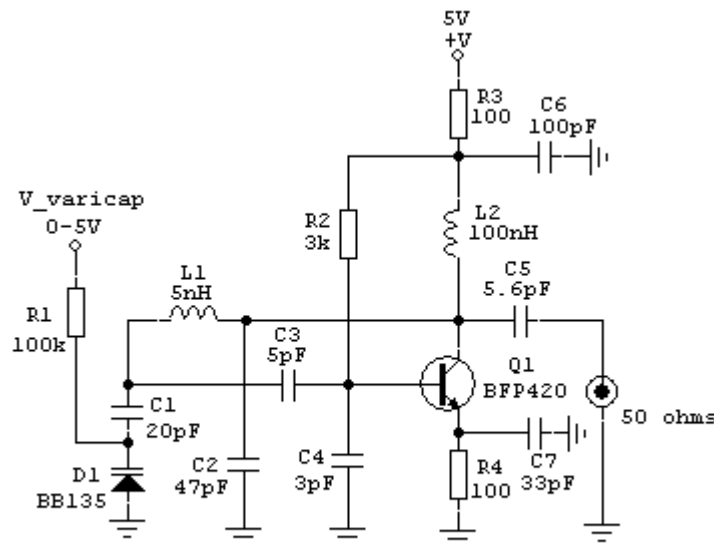
1.4GHz to 2GHz - Cascode VCO

Vackar VCO

And here is the winner. If you want to build a very stable, low phase noise, and low spurious VCO, definitely Vackar VCO is the choice.

This is not a common type in the RF “professional” world; one reason could be the name of its inventor.

A Vackar VCO is a variation of the split-capacitance oscillator model. It is similar to a Colpitts or Clapp VCO in this respect. It differs in that the output level is more stable over frequency, and has a wider bandwidth when compared to a Colpitts or Clapp design.



Vackar VCO - 600MHz to 1.2GHz

The Vackar VCO circuit incorporates a π -section tank to attain the needed 180° phase-reversal in the feedback loop.

- However, the inverted feedback signal is not directly fed back to the input of the active device; rather, it is loosely coupled through a small capacitor. Often, a shunt capacitor is introduced to further reduce the coupling.
- The basic idea is to isolate the resonant circuit as much as possible from the input of the active device, consistent with obtaining reliable oscillation.
- This circuit is particularly advantageous with solid-state devices, and especially with bipolar transistors that have inordinately-low input impedances and that present a widely-varying reactance to the tuned circuit as a consequence of temperature and voltage changes.
- Once the overall circuit is operational, the values of capacitance C_1 in series with C_{var} and collector capacitance (C_2) may be optimized for best stability. Generally, it will be found that the capacitor closest to the collector of the transistor can be several times larger than the capacitor associated with the base circuit.
- The introduction of attenuation in the feedback loop (via the small capacitor in the Vackar) prevents over-excitation and effectively isolates the resonant circuit from the active device.
- The frequency tuning range of Vackar VCO is above one octave, not observable to many oscillator types.
- The frequency tuning is provided independently of the coupling to the LC tank circuit.
- The parametric variables of the transistor (which depends by the bias current and temperature), are isolated from the resonator.
- The transistor input is not overloaded as other VCO circuits and the collector output has low impedance providing low gain just to maintain the oscillation.
- The feedback division ratio is fixed (typical range for coupling ratio is 1:4 up to 1:9). Even if the VCO is tuned, the impedance divider is fixed, in this way increasing the stability.
- Two negative sides of Vackar VCO are the critical starting oscillation point, and the low output level, which always requires to use a buffer amplifier. When the oscillation doesn't start means that it doesn't have enough positive feedback, as for to begin the oscillation and maintain it in the time. In the above schematic C_3 and C_4 are critical values finding this point.
- L_2 is used as an RF choke with SRF outside of the frequency range, to don't affect the tuning range and flatness over frequency. It is important that the RF choke in the collector circuit 'looks good' at the operating frequency (presents a high inductive reactance). Resonances from distributed capacitance in the choke windings, especially those in the series-resonant mode, can degrade stability or even inhibit oscillation. Ferrite-core chokes are generally suitable for this application. Sensitivity to RF choke characteristics is common to all oscillator circuits that use chokes for shunt-feeding the DC operating voltage to the oscillator.

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